

### **Adult Safeguarding**

Plymouth City Council Windsor House Plymouth PL6 5UF

Please ask for Megan Cleaves T 01752 304270 E megan.cleaves@plymouth.gcsx.gov.uk www.plymouth.gov.uk/democracy 07 November 2016

## PLYMOUTH SAFEGUARDING ADULTS BOARD

Monday 14 November 2016 10.00 am Windsor House

#### **Members:**

Andy Bickley, Chair
Councillor Lynda Bowyer, Carole Burgoyne, Gary Wallace, Craig McArdle, Matt
Garrett, Jane Elliot Toncic, Julian Mouland, Laura Collingwood-Burke,
D/Supt Keith Perkins, Greg Dix, Geoff Baines, Joanna Robison, Chris Rogers, Jon McLeavy, Marie
Partridge, Jo Renton, Jonathan Nason, Kerri Nason, Sue Baldwin and Phil Smale.

Members are invited to attend the above meeting to consider the items of business overleaf.

For further information on attending Council meetings and how to engage in the democratic process please follow this link - <a href="http://www.plymouth.gov.uk/accesstomeetings">http://www.plymouth.gov.uk/accesstomeetings</a>

Tracey Lee
Chief Executive

## **PLYMOUTH SAFEGUARDING ADULTS BOARD**

7. FUTURE MEETINGS:

6.	AOB:	(Pages 5 - 46)
5.	PLENARY:	
4.	CCG MHCCC UPDATE AND PRESENTATION:	
3.	CHAIR'S UPDATE:	
2.	MINUTES AND MATTERS ARISING:	(Pages I - 4)
1.	WELCOME AND APOLOGIES:	

## PSAB I July 2016, Windsor House



#### **Present:**

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Andrew Bickley	Independent Chair	
Cllr Lynda Bowyer	Portfolio Holder for Health and Adult Social Care	Plymouth City Council
Marie Partridge	Acting Safeguarding Service Manager, Children's Services	Plymouth City Council
Jane Elliott Toncic	Adult Safeguarding Manager	Plymouth City Council
Linda Gooding	Representing the Criminal Justice, Commissioning and Partnerships Manager	Office of the Police and Crime Commissioner
Charlie Pitman	Public Protection Unit	Devon & Cornwall Constabulary
Sue Wilkins	Representing the Director of Nursing	Plymouth Hospitals NHS Trust
Geoff Baines	Director of Professional Practice, Quality and Safety	Livewell Southwest
Jonathan Nason	Head of Plymouth, Cornwall & IoS Local Delivery Unit	National Probation Service
Sue Baldwin	Designated Safeguarding Nurse	NEW Devon CCG
Phil Smale	Safeguarding Coordinator	City College Plymouth
Kerri Nason	Assistant Chief Executive	Plymouth and Cornwall LDU Dorset, Devon and Cornwall CRC
Jon Mcleavy	Head of Business Services	Housing Support Devon and Cornwall Housing
Megan Cleaves	Safeguarding Administrator	Plymouth City Council

## Also in attendance:

Rob Sowden, Performance and Research Officer, PCC for item 6

## Page 2

## I. Welcome/Apologies

- Andy Bickley welcomed everyone to the meeting
- Apologies from:

Carole Burgoyne, Director for People, PCC

Lorna Collingwood-Burke, Chief Nursing Officer, New Devon CCG

Antonia Reynolds, Inspection Manager, CQC

Keith Perkin, Head of Public Protection Unit, Devon and Cornwall Police

Julian Mouland, Adult Safeguarding, PCC

Gary Wallace, Public Health, PCC

Greg Dix, Director of Nursing, PHNT

Joanna Robison, Office of the Police and Crime Commissioner

Matt Garrett, Head of Housing Services, PCC

Craig McArdle, Assistant Director, PCC

Chris Rogers, Named Professional (Safeguarding)/Paramedic, SWAST

#### **Declarations of Interest.**

There were no declarations of interest.

#### 2. Minutes and matters arising

- Minutes of the previous meeting were agreed.

## **Matters Arising**

- AB will write to Ian Tuffin to thank him for his work with the PSAB.
- There are some updates with the Goddard report which SB will circulate.
- Strategic review update CMc confirmed that there were no recommendations in the report for PHNT.
- All other actions were completed.

## 3. Chair's Update

- The Wood review of Local Safeguarding Children's Boards has been published. The report is 145 pages long and is reviewing the future of SCBs nationally. There is no direct impact on SAB however there is crossover.
- AB contributed to CQC before the start of the Livewell Southwest inspection. The inspection is currently in the 2<sup>nd</sup> week, with the report expected to be published mid-September. CQC are due to go back into Derriford 18/19 July. The Board will need oversight of both reports.
- AB spent a day with SWAST and left with a strong level of confidence on how they address issues such as domestic abuse. He will share his notes with the Board.
- AB has spent some time with Jo Brancher Matron for Safeguarding at PHNT and noted they have rich data sets which may be useful.
- AB has spent some time with Julian Mouland looking at the SCR for 'V' and the SAR referral for RM.
- AB has spent some time consulting on the Annual Report.
- AB has completed his introduction to the SAB webpages.
- AB has had his annual appraisal with Tracy Lee, which he finds a useful process,

AB SB

		and is happy to share with Board members.	
	-	An email has gone out to agencies that support asylum seekers and refugees providing information on safeguarding training and leaflets. AB will write to the senior staff of these agencies in order to gain their views on the safeguarding needs and risks associated with the groups with whom they work.	АВ
	-	Going forward AB wants to focus on engagement and participation. He spoke about Devon Safeguarding accessing Healthwatch community events and felt that we need to explore whether this would be possible with Plymouth.	
	-	AB is keen to be working with other boards and for a to be clear on our positioning and role with them.	
	-	AB wants to focus on the Mental Health Crisis Care Concordat and ensure that safeguarding principles are embedded across mental health services.	
4.	201	5-16 Annual Report	
	-	JET has received members' reports for the Annual Report. The report is currently in draft. JET is seeking a timeline from Studio for design and final publication.	
5.	201	5-16 Strategic Plan	
	-	AB went through the draft Strategic Plan. Working up the actions and ensuring SMART outcomes is underway. They will be matched against the considerations from the Strategic Review and related Health Needs Assessment.	
	-	Service development priorities are	
		<ul> <li>Risk Management and Self neglect (continuing from 2015/16)</li> </ul>	
		<ul><li>Engagement and participation (continuing from 2015/16)</li></ul>	
		<ul> <li>Mental Health</li> </ul>	
		<ul> <li>Partnership Boards</li> </ul>	
	-	Board development priorities are	
		<ul> <li>Learning &amp; Development Strategy (continuing from 2015/16)</li> </ul>	
		<ul> <li>Quality &amp; Performance Framework (continuing from 2015/16)</li> </ul>	
		SAB management arrangements	
6.	Per	rformance Framework	
	-	RS went through the Performance Framework and explained that due to the timing of today's meeting the data populated is from Q4 of 2015-16. At the next Board we will have data and analysis from Qs 1&2 of 2016-17.	
	-	The key headline shows that there has been an increase in concerns raised in 2015/16 which is expected.	
	-	GB noted that the number of Section 42 enquiries have doubled from the previous year. SB asked if it was worth doing a deep dive audit to look at the trends and hot spots.	
	-	RS will provide analysis of trends and hot spots in the next report.	RS
	-	Discussion took place regarding the information from CQC and whether it included Dom Care providers. RS said that it didn't currently but he would look at adding this to the framework, and look at adding information form GPs.	
	-	MP raised the lack of information on young people making the transition into	

## Page 4

adult services.	
- AB asked if there was any data form the service users' perspective. RS noted that in national data collection of people who were in receipt of ASC service, Plymouth was in the top ten Local Authorities where people said they felt safe.	
7. Serious case review 'V'	
- Covered in the Safeguarding Manager's report.	
8. Safeguarding Managers Report	
<ul> <li>SAR for RM – an independent lead reviewer has been identified. JET and JM will be speaking to the family to discuss the model for the SAR. The TOR for the SAR subgroup has been amended and will be signed off by PSAB.</li> </ul>	
- JET has included the link for the ADASS document regarding MSP for SABs she will circulate the document and ask for feedback to be sent to AdultSafeguarding@plymouth.gcsx.gov.uk.	JET
9. Multi agency pathway Update	
- The final document is included in the pack it will now be added to the multiagency policy and procedures manual.	
10. Strategic Review of Safeguarding	CMc
- CMc will circulate the final version with a 4 week turnaround for feedback.	<b>C.</b> 10
11. MHCCC (Mental Health Crisis Care Concordat) Update	
- AB has met with Paul O'Sullivan (email is in pack).	
- Paul O'Sullivan will give a presentation at the October PSAB meeting.	
I2. AOB	
<ul> <li>KN informed the meeting that Working Links who operate Dorset, Devon and Cornwall CRC has been bought out by a German investment company. It is business as usual although there will be a period of uncertainty for staff.</li> </ul>	
13. Future Meetings	
- Thursday 20 <sup>th</sup> October 2016 – changed since the meeting to 14 <sup>th</sup> November 16	
- Thursday 19 January 2017 – changed since the meeting to 2 <sup>nd</sup> Feb 17	
- Meetings are 10:00 to 13:00 and are held at Windsor House	



# ANNUAL BEPORT

2015/16

## Page 7

## **CONTENTS**

Independent (	Chair's Foreword	4
Who we are a	and what we do	5
Safeguarding i	n numbers	6
Review of pro	gress and achievements	13
Serious Case	Review	13
Member's rep	orts	14
Priorities and	plans for the year ahead	16
Appendix I	Budget	17
Appendix 2	Publications	18
Appendix 3	Performance framework	19

# INDEPENDENT CHAIR'S FOREMOND

I am pleased to present the Annual Report from the Plymouth Safeguarding Adults Board (PSAB) for 2015-2016. This report sets out the work and activity undertaken as individual agencies and across partnerships to make a positive difference to adults at risk in Plymouth during the last year.

The report is required by law following the introduction of the Care Act in April 2015 and is my first as Independent Chair of the Board. The role of the PSAB and my role as its Independent Chair is to hold to account the statutory partners and others who work together to ensure adults at risk in Plymouth are safe. It's important to say that the PSAB does not deliver operational services nor is it solely responsible for all for all safeguarding arrangements for adults in Plymouth. The Board exercises oversight and assurance in respect of safeguarding arrangements, some of which may be developed and led by others.

The following pages outline how safeguarding has been promoted and developed by the Board over the last year to improve collective understanding of the key issues and the safety of adults at risk of harm. These include:

- Designing policies and procedures to address complex cases, including those involving self neglect, and a pilot for a solution-focused forum to develop bespoke care packages to better manage risk and the sharing of risk.
- Providing training for managers and front line staff on issues of Mental Capacity, ensuring people's rights under the Care Act.
- Producing a framework to deliver more meaningful and effective engagement and participation with service users and others
- Embedding the principles of Making Safeguarding Personal
- Continuing to progress and have oversight of a Serious Case Review process as a consequence of the tragic death of a young Plymouth man, identifying fundamental lessons to be learnt in relation to services and decision making.
- Producing public awareness leaflets on safeguarding and hosting a significant safeguarding conference in the city with national keynote speakers.

I recognise that there have been real challenges to organisations represented on my Board during the reporting period as reduced budget settlements, changes to staff profiles and rising demands in a number of areas of complex safeguarding social policy have impacted. Despite this, commitment to safeguarding adults remains high and focused and I'm grateful to colleagues, frontline staff and mangers across organisations for their dedicated work and skill they bring to the work of the Board.

There is no room for complacency and there is much work to do to improve the performance of the Board as set out in this report but I'm confident we can secure further progress over the next year. Continued collaboration and challenge will be the foundation of our future effectiveness.



Andrew Bickley Independent Chair, Plymouth Safeguarding Adults Board

4

# WHO WE ARE AND WHAT WE DO

Plymouth Safeguarding Adults Board (PSAB or 'the Board') is a statutory body set up by the local authority in line with requirements of the Care Act 2014. Our main objective is to ensure that local safeguarding arrangements and partners act to help and protect adults at risk in our area.

The Care Act 2014 requires statutory members of the Board to include; the Local Authority, the police and the Clinical Commissioning Group. In Plymouth the SAB is also supported by a range of other key partner agencies and stakeholders as associate members:

- NHS England
- Care Quality Commission (CQC)
- Office of the Police and Crime Commissioner (OPCC)
- South Western Ambulance Service NHS Foundation Trust (SWAST)
- Livewell Southwest (previously Plymouth Community Healthcare PCH)
- Plymouth Hospitals NHS Trust (PHNT)
- Plymouth, Cornwall and Isles of Scilly Local Delivery Unit, National Probation Service (NPS)
- Dorset, Devon & Cornwall Community Rehabilitation Company (CRC)
- Devon and Cornwall Housing Association (DCHA)
- City College Plymouth

The PSAB meets quarterly, with representation from a wide range of partner agencies and groups, and is supported by multi-agency subgroups.

The Board's structure ensures effective join up and robust oversight arrangements are in place to promote the safeguarding of adults at risk of abuse or neglect, and ensure accountability for performance.

The Board receives regular reports on safeguarding activity from partner agencies across the city, and seeks assurance through checks and challenges that partners are fulfilling their roles to their own internal governance arrangements and external regulators. A key role is to probe and scrutinise the effectiveness of agency arrangements.

The activity reports and feedback from partner agencies allows the Board to interrogate performance data, change policies and procedures, direct resources, commission projects and specific pieces of work. This also allows it to identify priorities and set the business plan for the forthcoming years to safeguard adults in Plymouth. The work of the Board includes:

- Providing assurance and acting as a multi-agency partnership board of lead officers and key representatives, that takes strategic decisions aimed at safeguarding adults at risk of abuse/ neglect
- Co-ordinating the work of each partner agency to minimise the risk of abuse/neglect in community and service settings
- Promoting the safeguarding interests of adults to enable their wellbeing and safety
- Promoting inter-agency co-operation to encourage and help develop effective working relationships between different services and agencies
- Developing inter-agency safeguarding adult procedures to ensure an effective and consistent response to instances of abuse/harm
- Monitoring the effectiveness of what is done to safeguard and promote the welfare of adults, reviewing performance on safeguarding adults and making recommendations about changes within partner agencies.

## WHAT DOES SAFEGUARDING MEAN?

Safeguarding means protecting an adult's right to live in safety, free from abuse and neglect, and it aims to:

- Stop abuse or neglect wherever possible
- Prevent harm and reduce the risk of abuse or neglect to adults with care and support needs
- Safeguard adults in a way that supports them in making choices and having control about how they want to live
- Promote an approach that concentrates on improving life for the adults concerned

- Raise public awareness so that communities as a whole, alongside professionals, play their part in preventing, identifying and responding to abuse and neglect
- Provide information and support in accessible ways to help people understand the different types of abuse, how to stay safe and what to do to raise a concern about the safety or well-being of an adult
- Address what has caused the abuse or neglect

WHO ARE WE RESPONSIBLE FOR?

Under the Care Act 2014, safeguarding duties apply to adults who:

- have needs for care and support, and
- are experiencing, or at risk of, abuse or neglect, and
- as a result of their care and support needs, are unable to protect themselves from either the risk, or experience of abuse or neglect.

## WHAT DOES THE ANNUAL REPORT INCLUDE?

Safeguarding Adults Boards are required to produce an annual report that details what both the SAB and its members have done to carry out and deliver the objectives and other content of its strategic plan.

This annual report includes:

- The data gathered regarding adult safeguarding in 2015/16
- How we have done in delivering the objectives of our strategy in 2015/16 and the associated work of our sub-groups during the year
- An overview of the continuing Serious Case Review and referral for a Safeguarding Adult Review
- Our priorities looking forward
- The contributions of our member organisations to adult safeguarding locally

This report will be published on the PSAB webpages for all partners and members of the public to access. As required by the Care Act, it will also be submitted to the Chief Executive

and Lead Member for Health and Adult Social Care for Plymouth City Council, Chair of the Health and Wellbeing Board, the Police and Crime Commissioner for Devon and Cornwall, the Chief Constable of Devon and Cornwall Constabulary, and Healthwatch Plymouth.

It is expected that those organisations will consider the contents of the report, and how they can improve their contributions to both safeguarding in their own organisations, city-wide safeguarding networks, and in partnership with the Board.

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## Plymouth Safeguarding Adults Board (PSAB)

Independent Chair: Andy Bickley

## **PSAB Executive Group**

Chair: PSAB Independent Chair

Membership: PCC, Police, CCG, Safer Plymouth representative, Sub Group Chairs

#### Function:

Co-ordination of sub-groups Governance and daily business of the Board **Embed Equality and Diversity** Oversight of SAB Strategic Action Plan

## Safeguarding Adult Review (SAR) Sub-Group

Chair: **Local Authority** Independent Chair

Membership: Adult Safeguarding Manager, Police, NHS/CCG, Lay Member Function: Receive SAR Referrals Management of SAR process

Embed Equality and Diversity

## **Board Task and Finish Sub-Group**

Chair:

Appointed by the Executive Group as appropriate

Membership:

Agreed as appropriate from

LOG

Function: For example Risk Management and Self-Neglect Plan Policy and Procedures Review Quality Assurance Framework Learning and Development Strategy

## **Policy and Lead Officer** Sub-Group (LOG)

Chair:

Local Authority Independent Chair

Membership:

Agency/Provider Safeguarding

Lead Officers

Function:

Vehicle for multi-agency

communication

Review policy, practice and

case studies

Provide appropriate

membership for the Task and Finish Sub-Group

# SAFEGUARDING 12

## Levels of safeguarding concerns received

This year we recorded an increase in safeguarding concerns for the third consecutive year, in 2015/16 there were 1,731 concerns, representing an increase of 2 percent on the previous year. We can partly attribute this to increased awareness and the result of training increasing numbers of frontline staff across all sectors.

## Safeguarding concerns meeting the criteria for further enquiry

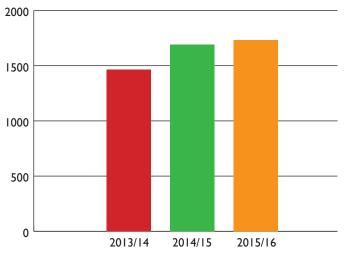
Of the 1,731 concerns received, 1,101 were referred for further investigation, known as an enquiry. This means that the number of enquiries more than doubled in 2015/16 when compared to the previous year. When a safeguarding concern is received, we undertake a triage process to enable us to decide whether, and which type of safeguarding enquiry is required. For every safeguarding concern received this year, six were referred for further enquiry. This represents a considerable increase on the previous year when just three out of ten were investigated.

## Types of abuse and those involved

The highest percentage of alleged victims subject to a safeguarding concern or enquiry have 'no support reason', this category is for those not in receipt of any social care services at the time of the alleged abuse.

41 percent of alleged victims subject to a concern were in receipt of a service, with the highest

**Figure I** Number of safeguarding concerns received



Source: National safeguarding data returns

proportion of these having a primary support reason of Physical Support (19 percent of all concerns) followed by Learning disability (11 percent) and Support with memory and cognition (5 percent).

It is the same picture in relation to safeguarding enquiries with the highest percentage relating to the investigation of alleged abuse against people receiving services for Physical Support, followed by Learning Disabilities. This is illustrated in figure two below.

#### Location of abuse

Not surprisingly there is a big difference in the location type between those incidents where the perpetrator is a provider of social care support and when the perpetrator is another known or unknown to the victim. 61 percent of abuse involving social care support occurred within a care home setting, followed by 21 percent within the victim's own home.

66 percent of abuse perpetrated by a person(s) known to the victim occurred within the victim's own home, with 20 percent occurring within a care home setting. Very few incidences of abuse are being reported to have occurred within a Community Service or Hospital setting (see figure four).

Figure 2 Victims of abuse by primary support reason

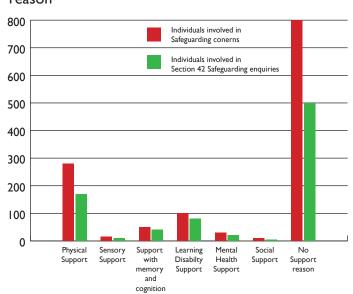


Figure 3 Type of abuse and source of risk

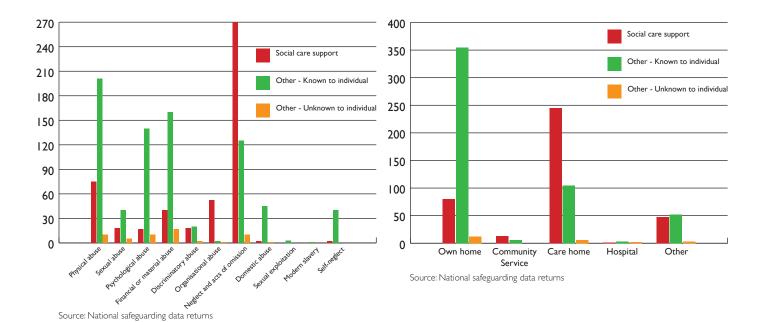
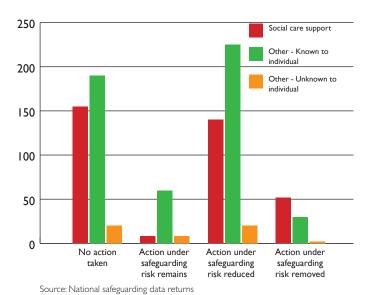


Figure three highlights the types of abuse being alleged and the source of the victim's risk **for concluded enquiries only**. For example, if a concluded enquiry involved an allegation of financial abuse by a family member and an allegation of physical abuse from someone not known to the individual, this would be counted below as one 'Financial' with 'Other - Known to Individual' and one 'Physical' with 'Other — Unknown.

In total in 2015/16 there were 1,354 recordings related to types of abuse and source of risk identified (higher than the number of enquiries due to the rationale in the example above). Of these

Figure 5 Completed enquiries and action taken



58 percent were alleged to have been carried out by a person known to the victim, 38 percent by someone providing social care support and just 3 percent by someone not known to the victim. In six cases this information was not recorded.

Abuse where the perpetrator is known to the victim, but not a provider of social care was most likely to be Physical Abuse (26 percent of enquiries where the perpetrator is known to the victim, but not a provider of social care), Financial/Material abuse (21 percent), Psychological abuse (18 percent) or Neglect (16 percent). There is a much clearer pattern in relation to alleged abuse by a person(s) who are a provider of social care support, 53 percent of these cases related to acts of Neglect, the next most common type of abuse was Physical Abuse (16 percent).

#### **Action Taken**

In all safeguarding enquiries we try to help the adult at risk stay safe from harm, in line with their wishes wherever possible. This may involve taking action against the person who caused the harm or a protection plan to prevent reoccurrence of the harm. Our success in doing this can be partially measured by looking at the outcomes recorded for the victim at the conclusion of each enquiry. Figure five illustrates the outcomes for the victim in 2015/16.

In the incidences where the perpetrator is a provider of social care support, the outcome is primarily either 'no action taken' (44 percent) or 'risk reduced' (40 per cent). The category 'no action taken' should only be used where no safeguarding action has taken place at all during the case and no further action is planned, therefore that 44 per cent of enquiries concluded with this outcome raised concern and we undertook audit which found that the practitioners had been wrongly interpreting the category, and therefore that the recording was not representative. We have improved the related recording guidance and amended the recording forms by adding mandatory rationale fields. These actions have improved recording practice, and have seen the percentage of 'no action taken' recorded drop in 2015/16 compared to 2014/15 when this percentage was 57 per cent. The percentage of concluded enquiries which led to the risk being reduced increased in 2015/16. Across all concluded enquiries the percentage of outcomes that are 'risk remains' and 'risk removed' is low.

# REVIEW OF PROGRESS

For its Strategic Plan for 2015-16, the Board identified and separated its priorities into those for Service and Board development, in order to differentiate between the areas it wanted to focus on across the safeguarding network, and those for its own development in line with the requirements of the Care Act 2014. Dedicated task and finish groups were established from the Policy and Lead Officer sub group (LOG) to take them forward in the following ways.

## **Self-Neglect**

 To develop strategies for responding to risk management, self-neglect and people with complex needs who do not engage with services:

The multi-agency policy and procedures were reviewed and the related chapter revised in line with the principles of the Care Act 2014, incorporating national guidance. A risk assessment tool was identified and agreed along with related practice guidance, and was circulated to partner agencies through LOG.

It was identified that when care management and care co-ordination are unable to resolve concerns about some individuals, a different more creative approach involving multiple agencies, and often commissioning responses, is required. Accordingly the group proposed a Creative Solutions Forum, agreed a terms of reference and carried out a scoping exercise for referrals. Ongoing work involves launching a pilot of the forum, practitioners' guidance and an awareness raising event.

#### **Mental Capacity**

 To increase awareness of Advance Decisions and Lasting Powers of Attorney within services to ensure compliance with people's rights:

We designed and commissioned delivery of 21 sessions of training to nearly 300 staff across the city, to which feedback was very positive. Further sessions are planned in 2016-17. In addition a public awareness leaflet was designed, commissioned and circulated to a variety of agencies, including care homes, GP surgeries, hospitals, and community providers (see Appendix 2).

### **Engagement and Participation**

 To undertake a review and make recommendations to increase engagement and participation with citizens and stakeholders, and develop further awareness of the Making Safeguarding Personal (MSP) agenda. This agenda was introduced to promote the inclusion of the person concerned in safeguarding investigations, ensuring that the process is person centred and focused on their desired outcomes.

Links were established with Healthwatch Plymouth and a proposal for an engagement strategy was drawn up. Unfortunately it was not possible to progress the strategy and this work will continue as a priority for 2016-17. We continued links with the Plymouth Adult User Safeguarding Executive (PAUSE), regularly consulting with members and establishing communication channels in & out of the Board. The SAB Independent Chair attended some of their meetings and the work to promote the voice of user groups will continue into the Board work for 2016-17.

We have embedded the principles of MSP in the values of the multi-agency policy and procedures manual, and multi-agency safeguarding training has been updated accordingly. Documents on the safeguarding recording system have been updated with mandatory fields to ensure that MSP is integral to any safeguarding enquiry, enabling auditing and providing assurance that the approach is being implemented. We are continuing to link with the national agenda, and to share evaluation and guidance through the Policy and Lead Officer sub group.

#### **BOARD DEVELOPMENT PRIORITIES**

### **Care Act Compliance**

 To comply with duties under the Care Act and its statutory guidance related to Safeguarding:

We reviewed the multi-agency policy and procedures, along with practice guidance, training and public information materials in line with statutory guidance. In addition, in line with the requirements for SABs, the Board terms of reference have been revised and agreed, and the membership reviewed. We published our Strategic Pan 2015-16 and a sub group was established to manage referrals for and progress of Safeguarding Adult Reviews. We designed and commissioned a revised adult safeguarding public awareness leaflet, which was distributed to all Council

public-facing offices and reception points, care homes, GP surgeries and public advisory agencies (See Appendix 2).

## **Quality and Performance Framework**

 To agree a performance framework to provide Board assurance and inform future priorities:

Research was carried out on a number of models and the agreed model was adapted for local purposes and data (See Appendix 3).

## **Annual Report Framework**

 To develop and agree an annual report framework:

Through multi-agency consultation via the relevant sub groups, a model was developed and agreed. This is the Board's first report following the Care Act implementation and in its current format, and its principal purpose is to show publically the work of the board and it's future focus.

## **Learning and Development Strategy**

 To review current learning and development activity, making recommendations to the Board:

A review was completed and subsequent strategy developed to include a safeguarding competency framework covering agencies' responsibilities, evidencing and recording requirements, core values and timescales for refresher training, which will continue in 2016-17. We have continued to train a significant number of frontline staff (almost 2000) across the sectors, and deliver safeguarding courses at a number of levels. Audits have identified improved referral quality as one indication of the impact of the training schedule.

Recognising the need to strengthen networks across the area, we continue to improve how we link with other parts of the system, for example children's safeguarding, domestic abuse and community safety partnerships. However, we acknowledge that there is further work required and this will be represented in the priorities for the year ahead. Agency cooperation and engagement with the Board and its sub groups is reassuring and has resulted in some significant partnership agreements, for example a multi-agency operational pathway protocol which aids practice and defines roles and responsibilities.

## Page 16 PSAB CONFERENCE 2015

## 'Adult Safeguarding – Changes, Challenges and Opportunities'

In partnership with Plymouth City Council and the University of Plymouth, we hosted a conference in June 2015. Nearly 300 delegates came from across the country to attend a programme which included presentations by nationally recognised speakers:

- The Right Hon. Sir James Munby, President of the Court of Protection and President of the Family Division - 'The nature and limits of intervening in adults' lives.'
- Michael Mandelstam, legal author 'Protecting adults from abuse and neglect – practice under the Care Act'
- Dr David Orr, lecturer and author 'Self neglect: evidence base and implications for practice'
- Geoff Baines, Director for Professional Practice Quality and Safety, PCH (CIC) – 'Adult safeguarding in health settings'

### Feedback was very positive:



"The speakers were excellent; I have learnt a lot and some of the points were very thought provoking"

"Provided good insight into the complex landscape of safeguarding"

"Very well planned, great range of speakers who are well known in their fields. A range of experience that enabled us to see the subject from several angles"

"Good venue, well organised and attended, with and interesting range of speakers – thank you."



#### **PSAB Development Day November 2015**

In November 2015 the Board held its first Development Day. The agenda included discussions around roles and responsibilities, the SAB's role in prevention, a gap analysis against the SCIE guidance for SABs, Board structure and governance arrangements, communication with and links to other partnership and scrutiny boards, and quality assurance mechanisms. Members submitted pledges detailing their commitment and ideas going forward, and agreed for this to be an annual event.

## SERIOUS CASE REVIEW

The Care Act 2014 specifies the circumstances under which a Safeguarding Adults Board (SAB) must arrange a Safeguarding Adult Review (SAR), previously known as a Serious Case Review or (SCR). It should primarily be concerned with weighing up what type of 'review' process will promote effective learning and improvement action to prevent future deaths or serious harm occurring again. This may be where a case can provide useful insights into the way organisations are working together to prevent and reduce abuse and neglect of adults. SARs may also be used to explore examples of good practice where this is likely to identify lessons that can be applied to future cases.

## Serious Case Review (SCR) 'V'

In the last year work has continued to progress this complex Serious Case Review, originally commissioned in 2013. Following the Coroner's Inquest in February 2015, the report has been progressed with the release of key information from the Inquest, the Independent Police Complaints Commission (IPCC) and the Police.

A Serious Case Review Panel, led by an independent chair, continue to meet regularly, coordinating the work of the agencies involved and supporting the review author to deliver a draft report to the SAB Executive Group and subsequently the full Board. The work of the panel has been overseen by the Serious Case Review/Safeguarding Adult Review Sub-group of the SAB. At each sub-group meeting there is an update and discussion on progress. Subsequently reports to the Executive Group and full Board are provided quarterly.

The Independent author has maintained contact with the family and their solicitors throughout the period, acting as a point of contact for queries and communicating updates from the Panel. In April 2016 the SCR Author and independent Equalities Advisor met with family members to provide feedback on their draft report findings and recommendations. This provided greater context around the circumstances prior to the death of V. During the meeting the family introduced some significant information and raised a number of questions which have required further consideration by the Police and the Ambulance Service.

Currently there are three areas of work outstanding prior to publication. Firstly, receiving and communicating responses from the Police and the Ambulance Service to the additional questions posed by the family. Secondly, to finalise the SCR report recommendations in conjunction with the SAB and other stakeholders. Thirdly, the SAB is to receive, agree and share a plan for publication of the report. It is envisaged this will be achieved by October 2016.

## Safeguarding Adult Review (SAR) Referral

In the past year the SAB SAR sub-group received a referral from a family, having followed the Coroner's Inquest into V in the local press. The family wrote to the SAB chair to request an SAR be considered in regard to the death of their daughter.

The referral was received by the SAR Sub-group and the SAB updated. Subsequently further information was requested in the form of chronologies from a number of agencies and organisations involved. This information was presented and considered at a further SAR sub-group meeting, and based on the information provided it was confirmed that the referral meet the criteria for a SAR as set out in the relevant guidance.

As a result of this decision, this year the following actions have been taken. Firstly, ongoing communication with the family; providing information on the Safeguarding Adult Review sub-group process and terms of reference, report options and define aims.

Secondly, a meeting with family members to assist with the explanation of the SAR process, understand their expectations of the process, and request their consideration of and feedback from the meeting to allow for outcomes to be further discussed and agreed. Thirdly, an independent lead reviewer has been identified and engaged with their background and curriculum vitae details shared with the family.

The next steps will include the lead reviewer receiving detailed case records and other relevant information. Also, to plan a meeting between the family and lead reviewer and, in conjunction with the SAR sub-group, confirm the specific terms of reference, model of learning, timescales and outcomes agreed. Quarterly briefings from the SAR sub-group will be provided to the Executive Group and full Board, where questions can be taken and SAB members updated.

# MEMBERS REPORTS

We have welcomed new members to PSAB this year and maintained established links with others. All members have reported on their engagement with the Board priorities and emphasised their areas of focus, some of which are highlighted here:

## **Plymouth City Council**

As the lead agency for safeguarding we have ensured that our policies and processes are Care Act compliant, and have provided management support to the Board, including chairing and co-ordination of sub groups.

We included adult safeguarding questions in our 2015 Staff Survey and developed a subsequent action plan which included

- Circulating the revised web based adult safeguarding leaflet to all public facing offices, and publishing it on web pages
- Publishing adult safeguarding information on the staff web pages and providing posters for teams without access to IT
- We audited customer facing teams and developed a city wide plan to ensure all

- relevant Council staff have appropriate adult safeguarding and child protection information and training
- We developed a joint child protection and adult safeguarding training scheme for taxi drivers in the city. Over 200 have been trained so far and this will continue and be included in the requirements for future licensing.

We have participated in the Home Office pilot in response to their review of the National Referral Mechanism for modern slavery, and been significantly involved with the development of both the Devon and Cornwall wide and local Plymouth Anti-Slavery Partnerships. Accordingly, we have been involved with a number of live operations and the resulting requirements.

## **NEW Devon Clinical Commissioning Group**

The CCG have had regular representation on the SAB sub groups, in particular providing expertise and research in developing a risk assessment tool which will assist professionals in the care and risk management of those with complex needs. We contributed funding for multi-agency safeguarding adults and Mental Capacity Act training and MCA resource packs have been made available to all GP practices in the City.

The CCG Safeguarding Team work closely across the local safeguarding pathways to ensure where possible, that individual's wishes are known. Enquiries undertaken by providers are reviewed to ensure those wishes have been met.

We are committed to providing relevant data for the performance framework and have taken a lead role led the development of the learning and development framework. The CCG actively reviews its compliance with statutory guidance through regular reports to the Governing Body Executive.









Northern, Eastern and Western Devon Clinical Commissioning Group

## Dorset, Devon and Cornwall Community Rehabilitation Company (DDC CRC)

The CRC contributes to the work of the board and its sub groups. We have reviewed and audited cases related to the all of the Board Service Development Priorities, and developed training and development activities accordingly. We have raised awareness internally and externally of the changes to safeguarding under the Care Act, and have audited policy and training packages to inform practice. We are committed to continuing to share learning and themes of case reviews to inform and support the work of the Board.

## Plymouth Hospitals NHS Trust

PHNT has contributed to, and continues to support the tasked working groups of the Board through their engagement with the Policy and Lead Officers Group. Related information on, for example, self neglect, MSP and the MCA has been embedded in internal policy and training packages. We have active involvement with the safeguarding partners' network to ensure compliance with duties under the Care Act 2014, contribution to \$42 enquires, and regular attendance at information sharing and networking meetings. In addition, we continue to monitor data and contribute to the performance framework for Board assurance. PHNT continues to raise the safeguarding adult's agenda throughout the organisation, including through bespoke workshops and training programmes.

## Plymouth Hospitals **MHS**



Page 19

**NHS Trust** 









## **Livewell Southwest**

Livewell Southwest work closely within the multiagency safeguarding network, make an active contribution to the sub groups of the Board, and have championed the area of risk management and self neglect at Board level. We were a significant contributor to the Adult Safeguarding conference held in June 2015, when the Executive Lead for Safeguarding Adults presented and was a member of the plenary panel. The conference was also attended by 22 Livewell staff who have taken back the learning to their work bases for the benefit of local people and families.

We have identified the board priorities at executive and operational level within the organisation. Specialised and advanced training on a number of related topics has been provided by the organisational leads to clinical and medical staff. The principles of MSP are embedded in practice, and all safeguarding enquiries requested to be undertaken by the organisation ensure that due consideration is given on an individual basis to involving the views of the individual at risk.

We have rolled out across the force area, including Plymouth, a new safeguarding process, initially piloted in Torbay. This new process brings together all of the risk identification and assessment working practices into a single safeguarding process. Officers who identify safeguarding concerns around individuals or groups will submit a Vulnerability Screening Tool form. This is coordinated and researched by the Central Safeguarding Team, who collate any concerns around vulnerability, risk assess them, and either action immediate safeguarding action or signpost to other agencies. This new approach brings consistency, more timely interventions and improved signposting to the right agency.

We have undertaken a number of safeguarding training programmes throughout the year. This training has included general safeguarding, domestic abuse and modern slavery. Over the last year domestic abuse officers and staff have been collocated with their colleagues in the Sexual Offences and Domestic Abuse Investigation Teams (SODAITs). This has allowed better partnership working from identification of risk, investigation and support for victims.

Devon and Cornwall Police were heavily involved in a national modern slavery operation targeting car washes and nail bars, and were the Force responsible for coordinating the south west regional response. It is known that a number of vulnerable people are being exploited and this operation is an excellent example of the Force working in partnership with other agencies to safeguard communities.

## Local Delivery Unit, **National Probation Service**

We held a safeguarding awareness week, core features of which included self neglect, MCA and MSP via a tailored approach. Links to the management of offenders on case load are built into sentence and risk management planning, and connections have been made to staff's familiarity with the practice of tailoring plans to meet the presenting needs of individuals with whom we work. We have ensured that staff are aware of their responsibilities under the Care Act., and we will be carrying out a review of safeguarding practice assessed against the guidance. Adult safeguarding training has been identified as a priority for NPS staff, and we have introduced it as a mandatory package with management monitoring built in.

# PRIORITIES AND PLANS FOR 2016

## These priorities will be reviewed and reported on annually:

## Risk Management and Self Neglect

 To continue to develop the 'Creative Solutions Forum' for the City, finalise policy and plan multi-agency awareness events

#### **Mental Health**

- To gain assurance from commissioners and providers that safeguarding principles are embedded and actively promoted throughout the mental health system.
- To ensure that learning from Serious Case Reviews and Safeguarding Adult Reviews are embedded in operational practice

## **Engagement and Participation**

To further develop a strategy with two main priorities:

- ensuring local people with care and support needs are involved in the safeguarding agenda and the SAB strategic plan
- increasing understanding of adult safeguarding across the city

### **Quality assurance**

 To develop a multi-agency Quality Assurance and Performance sub group to analyse information from the Performance Framework, and evaluate trends and patterns for which the SAB will seek assurance and /or action plans from relevant agencies

#### **Learning and Development Strategy**

• To continue work to produce and monitor an agreed competency framework for Board partners and related agencies and organisations

#### **SAB** management arrangements

- To establish an annual review process covering SAB membership, board meetings, terms of reference, subgroups, governance, budget and resources.
- To develop a SAB communication strategy, including revised web pages and use of social media
- To agree protocols for interaction with partnership and scrutiny boards.
- To develop links to gain assurance that safeguarding is acknowledged and embedded in other partnership boards' strategies and priorities

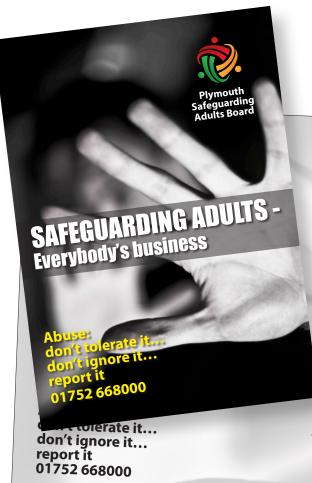
## **17**

## APPENDIA 2015-16 SAB budget

PLYMOUTH SAFEGUARDING	ADULTS BO	ARD			
SUMMARY EXPENDITURE 2015/16					
			Proj. Exp	penditure	Actual
			£	£	Exp
SAB Employee Costs (includes on-costs)					
Safeguarding Administrator (D Grade - 50% FT			11,485		
Safeguarding Training Administrator (D Grade -	- 100% FTE)		22,970		
Safeguarding Independent Chair (J Grade - 10	0% FTE)		53,696		
				88,151	88,151
Engagement of Independent Chair of the PSA	.B				
Fees for Independent Chair			10,000		
				10,000	9,353
Learning and Development					
Core training plan			35,000		
Safeguarding Training					
Safeguarding Enquiry Training					
Safeguarding Managers Training			_		
MCA/DoLS Training			_		
MCA Awareness Training				25.000	25.000
C-f(CAD)				35,000	35,000
Safeguarding Adults Review (SAR)			10,000		
SCR Independent Overview Report Author			10,000	10,000	7,572
Supplies and Services				10,000	7,572
Printing (leaflets and banners)			1,200		1,200
Office Expenditure			200		200
Bi-Annual SAB conference			7,000		5,367
Tri-X On line Safeguarding Procedures			6,000		5,000
111-X OIT line Salegual ding 1 Tocedules			0,000	14,400	11,767
				17,700	11,707
Total Projected SAB Expenditure				157,551	
Total Actual SAB Expenditure				137,331	151,843
Variance					-5,708
					, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
_	Contribution	1			
Income Source	£				
NEW Devon CCG	20.75%	37,873			
Devon and Cornwall Police	8.50%	15,514			
Probation	1.75%	3,194			
Community Rehabitation Company	1.75%	0			
	33%	56,581			
NB The SAB budget is part of the wider PCC Safe There is additional expenditure funded by PCC no					-16
Adult Safeguarding Manager (100% FTE)	or included in the SAD 6	59,816	DOVE, IOI EXA	inpie.	
Adult Safeguarding Administrator (50% FTE)		11,485			
, taut Jaicguai aing , taininisti ator (30% 1 1 L)		71,301			
		71,301			

18

# APPENDIX 2



## Adults at risk can be:

- Physically abused
- Psychologically abused
- Financially abused
- Sexually abused
- Discriminated against
- Neglected

## Abuse can take many forms such as:

- Shouting or swearing, which makes a person fearful
- Hitting, slapping or pushing
- Unwanted touching, kissing or sexual intercourse or sexual contact to which a person cannot consent
- Not being cared for properly or denied privacy, choice or social contact
- Money or property taken without permission or under pressure
- Pressure to sign over money or property or financial transactions to which a person cannot consent
- Domestic abuse, which includes incidents of controlling, coercive or threatening behaviour, honour based violence and female genital mutilation
- Modern Slavery, encompassing human trafficking, forced labour and domestic servitude

### Why would I need a Power of Attorney?

Power of Attorney?

A power of attorney is someone legally populated to make decisions for you if you are unable to do so at any point in the decisions for you will be a so at any point in the decisions for a number of reasons including demention or mental illness. It is important that these are possible to the second be affected unexpectedly, for example could be affected unexpectedly, for example to what the second be affected unexpectedly, for example to what the second be affected unexpectedly, for example to what the second be affected unexpectedly, for example to what the second be affected unexpectedly and the second beautiful to make the second beautiful to the

#### Health and Welfare Power of Attorney

Power of Attorney
It a person is unable to make
decisions for themselves,
protessionals like adoctors, nurses and
professionals like adoctors, nurses and
their bash interest in accordance with the
make the similar state of the person and
their family members should be involved
in adoctions, but family members do not
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## Can I arrange this if and when it is necessary?

when it is necessal y:
You must appoint a power of
attorney while you are still able to
make a decision about who to appoint and
while you still understand what this means,
tryou are no longer able to decide, tomily
tryou are no longer able to decide, tomily
members would need to apply to the court
of profection to become a deputy. This is
more expensive, and can take a few

## Finance Power of Attorn

Finance Power of Attor!

If you set up a finance power of attorney before 2007, you do need to do it again, but it will not health and welfare decisions. You now set up a Lasting Power of at finance and property, health an both. With your permission, your property attorney can use this manage your offairs, such as a veven while you can still make

## Is there a risk of abuse by a Power of Attorney?

by a Power of Attorney?
It anyone suspects that a power of attorney is misusing their power, they can report this to Plymouth City Cauncil on 01752 688000 or the office of the public guardian on 300 45 0300. Lasting power of attorneys and co appointed deputies must be registered the office of the public guardian who remove them if necessary.

## POWER OF ATTORNEY



## Did you know...

- You don't need a solicitor to set up a power of attorney.
   You can fill in the forms online.
- You can appoint more than one person to act as your attorney to act together or separately.
- You may be able to pay a reduced fee to set up your power of attorney if you are on a low income.

## SAFEGUARDING ADULTS IS EVERYONE'S BUSINESS WITHIN A LEARNING **CULTURE**

## PLYMOUTH ADULT SAFEGUARDING BOARD PERFORMANCE FRAMEWORK

**QUESTION** 

**METHOD** 

**INDICATORS** 

Q1 does our safeguarding system protect people in a timely way and in keeping with their desired outcomes?

Quarterly Adult safeguarding statistics

Improvement activity - case onferences/case recording

Multi-agency audits

MSP audits/surveys

Annual police report

Complaints & compliments etc.

Safeguarding Training information

Multi agency audits

Implementation of safer recruitment standards

Safeguarding Adult Review

Q3 Are we satisfied with the quality of care for any adult at risk?

QAIT reports/QRs Highlight report from commissioners: Quality assurance and improvement activity / management of risk

COC reports and joint meeting intelligence

Multi agency meetings

Categories of concerns

Contract monitoring

National reporting and learning systems (SIRI  $\,$ 

analysis)

rating of good

<u>Performance indicators</u> Number of registered Providers with a CQC rating of outstanding Number of registered providers with a CQC

Number of registered providers with a CQC rating of require improvement

Number of registered providers with a CQC rating of inadequate

ASCOF 4A The proportion of people who use services who feel safe

ASCOF 4B The proportion of people who use services who say that those services make them feel safe and secure

Numbers and types of SIRI's

Qualitative monitoring Annual/biannual audits

Sample of enquiries

Over-viiew of risks and issues across the whole system

Performance indicators
Number of Complaints received

Number of Compliments received

Agency attendance at PSAB

Number of professionals trained in safeguarding awareness

Outcome of training (feedback method to be

Agency training uptake/ delivery

Number of Domestic Homicide Reviews

Number of cases where a person in a position of trust is investigated

Qualitative monitoring

Qualitative indicators from SCR/SARs (Number and outcomes of enquiries and outcomes)

Regional learning

MH reviews

Performance indicators
Number of safeguarding concerns

Number of safeguarding concerns by source

Number of safeguarding enquires

**Enquiry outcomes** 

Percentage of enquiries where the individual or individual's representative asked what their desired outcomes were?

Of the enquiries recorded what percentage of enquiries were the desired outcomes achieved?

Improvement activity - case conferences/case recording

Timeliness of information gathering

Percentage of investigations concluded in the

Percentage of enquiries resulting in a criminal

Number of criminal investigations resulting in charges being brought

<u>Qualitative monitoring</u> Analysis of Making Safeguarding Personal

audits/surveys

Multi-agency audits (frequency and format to be agreed)

19

				Plymouth	Plymouth Safeguarding Adult's	g Adult's Boar	Board - Data Set Quarter four 2015/16	Quarter four	2015/16	-	_	
Ref	Data Set	Data frequency Outturn	16 2014/15 QI	2014/15 Q2	2014/15 Q3	2014/15 Q4.	2015/16 Q1 2	2015/16 Q2 2	2015/16 Q3 2	2015/16 Q4	2016/17  Target Trend (TBC)	RAG Performance Comment
Q1 doe Ref 1	es our safeguarding system protect people in a timely way and in keep Number of safeouarding concerns	ing with their desired outo	ome							╫		Ref 4 - In 2015/16. Of the concerns received. 1.101 were referred for further
		1,833	3 474	422	433	419	460	209	449	415	твс	investigation, known as an enquiry. This means that the number of enquiries more
Zer Z		Quarterly 1,747	_	Information	Information not captured		423	492	433	388	твс	concerns received, 6 were referred for investigation. This represents a
Ref 3	of which care home	Quarterly 86		Information	Information not captured		37	17	16	16	ТВС	considerable increase on the previous year when just three out of 10 were investigated. In quarter four the percentage rose to 75%.
Ref 17	% of concerns from Care Home	Quarterly 36%	34%	41%	40%	42%	33%	34%	39%	37%	твс 📈	Ref 6 - Enquiry outcome to victim - Between August 2015 and March 2016 the
Ref 18	% of concerns from Community Service	Quarterly 4%	4%	2%	2%	1%	2%	2%	4%	3%	твс	percentage of outcomes recorded as 'no action taken' has dropped, reducing to a low of 13% for those closed in March 2016. For the whole year the percentage of
Ref 19	% of concerns from Hospital	Quarterly 3%	3%	2%	3%	3%	2%	3%	4%	3%	твс	outcomes recorded as 'no action taken' was 40%. This is an improvement on 2014/15 when 63% of enquiries were closed as 'no action taken' - performance
Ref 20	% of concerns from own home	Quarterly 52%	43%	45%	42%	47%	%09	24%	21%	24%	твс	that was queried by the Information Centre. In quarter four the percentage fell to 23%.
Ref 21	% of concerns from other	Quarterly 11%	%2	11%	12%	11%	13%	10%	12%	10%	твс	Ref 8 and 9 - The percentage of enquiries resulting in risk reduced has increased to 58% from principles four units trial transfer contracting the percentage of the percentag
Ref 4	Number of safeguarding enquires	Quarterly 1,167	7 138	137	136	148	238	297	310	322	твс	Ref 14 - The percentage of enquiries that have been ceased at the request of the
Ref 5	% of concerns progressing to enquiry	Quarterly 66%	75%	35%	30%	37%	%99	%59	%99	75%	твс	victim is on an increasing tend. In 2019/16 the percentage ceased for this reason was 14%, compared to 8% in 2014/15.
Ref 6	% of completed enquiries where 'no action taken under safeguarding'	Quarterly 41%	71%	61%	28%	%19	%89	25%	29%	23%	TBC	
Ref 7	% of completed enquiries where Risk remains	Quarterly 7%	3%	%9	%6	11%	%2	%2	%8	%9	твс	
Ref 8	% of completed enquiries where Risk reduced	Quarterly 43%	50%	24%	27%	22%	27%	33%	92%	%89	твс	
Ref 9	% of completed enquiries where Risk removed	Quarterly 10%	%9	%8	%9	%9	%8	%9	12%	13%	твс	
Ref 10	% of allegations fully substantiated	Quarterly 35%	50%	21%	24%	23%	31%	43%	33%	31%	твс	
Ref 11	% of allegations partly substantiated	Quarterly 9%	%6	13%	11%	14%	%9	%2	14%	10%	твс	
Ref 12	% of allegations found to be inconclusive	Quarterly 17%	18%	78%	25%	21%	19%	11%	18%	20%	твс	
Ref 13	% of allegations found to be non-substantiated	Quarterly 26%	48%	33%	78%	31%	30%	%97	23%	25%	твс	
Ref 14	% of allegations ceased at individual's request	Quarterly 14%	2%	4%	11%	10%	15%	13%	13%	14%	TBC	
Ref 15	% of enquiries where the individual or individual's representative areasked what their desired outcomes were?	Quarterly 60%			Information	Information not captured			51%	%69	твс	
Ref 16	For each enquiry, where the individual or individual's representative asked what their desired outcomes were, were these outcomes.	Quarterly			Information	Information not captured			_	_	-	
		Quarterly 70%			Information	Information not captured			%02	%02	твс	
	ived	Quarterly 23%			Information	Information not captured			22%	23%	твс	
		Quarterly 8%			Information	Information not captured			%8	%2	твс	
Ref 32	Safeguarding concerns completed within 7 working days	Quarterly 79%	%99	%82	%98	64%	%99	%82	%98	%28	твс	
<b>O2 Is t</b> l Ref 22	he workforce fit for purpose? Number of Complaints received	Quarterly 0									TBC	Ref 24 - The numbers of professionals attending safeguarding training fluctuates
Ref 23	Number of Compliments received	Quarterly 0									TBC	on a quarterly basis, although the longer term trend is steady. In 2015/16 there were 2,220 attendees, down from 2,548 in 2014/15. 2015/16 saw numbers of
Ref 24	Number of professionals trained in safeguarding awareness	Quarterly 2220	545	584	748	671	482	568	631	539	ТВС	attendendances affected by increased non-attenders and increased sickness.
Ref 25	Percentage of professionals who find safeguarding training useful	Quarterly				Information not captured	captured				TBC	
Ref 26	Number of Domestic Homicide Reviews	Quarterly 2	0	0	0	0	0	0	2	2	твс	
Ref 28	Number of CQC providers with a CQC rating of outstanding	Quarterly 1%			Inform	Information not captured	pa			1%	TBC	CQC Ratings - Performance updated in June - latest performance showing
Ref 29	Number of CQC providers with a CQC rating of good	Quarterly 81%			Infori	Information not captured	pa.			81%	TBC	and 1% 'inadequate'.
Ref 30	Number of CQC providers with a CQC rating of require improvement	Quarterly 17%			Infori	Information not captured	pa.			17%	твс	ASCOF 4A - For the second year running the percentage of people who state that
Ref 31	Number of CQC providers with a CQC rating of inadequate	Quarterly 1%			Infori	Information not captured	,eq			1%	твс	they feel safe has fallen, result is based on the responses of people surveyed who are in receipt of long term social care packages. Provisional results show that 63%
ASCOF 4A	ASCOF 4A The proportion of people who use services who feel safe	Annual 70%		9	%89			%02			твс	of respondents feel safe, down from 68% in 2014/15 and below national and comparator averages.
ASCOF 4B	ASCOF 4B The proportion of people who use services who say that those services make them feel safe and secure	Annual 94%		5	93%			94%			твс	ASCOF 4B - The percentage of respondents who state that services make them feel has fallen in the 2015/16 survey (from 93% to 88%). However performance is
Ref 27	Number of SIRIS initiated by Adult Safeguarding	Quarterly									TBC	Stil above
		_						-	-			













Northern, Eastern and Western Devon Clinical Commissioning Group

















Operated by Working Links

## Page 27

# Plymouth Safeguarding Adults Board Strategic Plan 2016-19





# Strategic Plan 2016-19 Introduction





## Plymouth Safeguarding Adults Board consider:

Everyone has the right to live their life free from violence, fear and abuse.

All adults have a right to be protected from harm and exploitation.

Not everyone can protect themselves.

All adults have the right to independence, which may involve risk.

The Government has set out the following six principles which provides the Board with a safeguarding framework:

- Empowerment
- Protection
- Prevention
- Proportionality
- Partnership
- Accountability

Under the Care Act 2014, Safeguarding Adult Boards are for the first time within a legislative framework. The Council, the Clinical Commissioning Group and the Police will work with the people of Plymouth, **Board Partners and** Stakeholders to achieve these principles

# Strategic Plan 2016-19 Care Act Duties





## Care Act 2014

## Safeguarding duties apply to an adult who:

- has needs of care and support
   (whether or not the Local
   Authority is meeting any of those needs) and
- is experiencing, or at risk of, abuse or neglect and
- as a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of abuse and neglect

## The Board has three core duties:

- It must publish a Strategic Plan each year
- It must publish an annual report
- It must conduct Safeguarding
  Adult Reviews

# However the Strategic Plan is service driven and underpinned by:

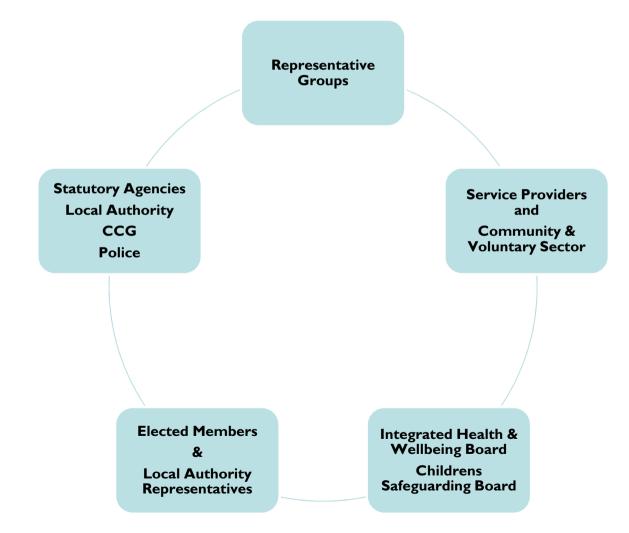
- Quality & Performance
- Assurance & Governance
- Equality & Diversity

## Page 30

## Strategic Plan 2016-19 Board Partners







## **Principles**





- SAB member's commitment to supply staff and resources
- Commitment to user engagement throughout
- SAB partners/agencies/staff delegated actions within the strategic plan are accountable to the Board
- Any proposed changes to the Strategic Plan must be via the Executive Group in the first instance under a 'Proposal to Change'
- Delegated officers have the authority to agree actions on the behalf of the agency they represent
- Use of the escalation procedure up to and including the Executive Group for mediation and decisions

# Strategic Plan 2016-19 Priorities





I. Risk Management & Self Neglect To continue to develop the 'Creative Solutions Forum' for the City, finalise policy and plan awareness events

## 2. Mental Health

To gain assurance from commissioners and providers that safeguarding principles are embedded and actively promoted throughout the mental health system. To ensure that learning from Serious Case Reviews & Safeguarding Adult Reviews are embedded in operational practice

## 3. Engagement & Participation

To further develop a strategy with two main elements:

- Ensuring local people with care and support needs are involved in the safeguarding agenda and the SAB strategic plan
- Increase understanding of adult safeguarding across the city

## Strategic Plan 2016-19 Priorities





## 4. Quality assurance

To develop a multi-agency Quality Assurance and Performance sub group to analyse information from the Performance Framework, and evaluate trends and patterns for which the SAB will seek assurance and /or action plans from relevant agencies

## 5. Learning & Development Strategy

To continue work to produce and monitor an agreed competency framework for Board partners and related agencies and organisations

## Strategic Plan 2016-19 Priorities



## 6. SAB management arrangements

- Design and establish appropriate SAB management processes and procedures
- Develop a SAB communication strategy, including revised web pages and use of social media
- Board agency self-assessment and member appraisal





Priority I	Actions	Timescale	Responsible agency/name
Risk Management & Self Neglect To develop the 'Creative Solutions Forum', finalise policy and plan awareness events	<ol> <li>Pilot the Creative Solutions         Forum, evaluate and establish</li> <li>Finalise and present draft risk         management &amp; self-neglect (RM &amp;         SN) policy and guidance in         conjunction with the RM &amp; SN         sub-group and share with         stakeholders for feedback</li> <li>RM &amp; SN multi-agency policy         agreed by Exec Group</li> <li>Plan an event to present RM &amp;         SN policy to SAB Partners and         stakeholders</li> </ol>	October 2016  October 2016  December 2016  TBC	Local Authority and SAB Partners





Priority 2	Actions	Timescale	Responsible agency/name
Mental Health  (i) To gain assurance from commissioners that safeguarding principles are embedded and actively promoted throughout the mental health system.  (ii) To ensure that learning from Serious Case Reviews & Safeguarding Adult Reviews are embedded in operational practice	<ul> <li>(i) The Clinical Commissioning Group (CCG) to provide quarterly updates to the SAB of how safeguarding principles and practice are reflected in commissioning mental health services.</li> <li>(ii) For the CCG commissioners and mental health services, as part of the annual self assessment, to provide examples of how learning has been used to improve outcomes for people.</li> </ul>	November 2016 January 2017	CCG & Mental Health Provider Services





Priority 3	Actions	Timescale	Responsible agency/name
Engagement & Participation To develop a strategy with two main elements: (i) Ensure local people with care and support needs are involved in	<ol> <li>Develop a SAB engagement and communication strategy to encompass proactive and reactive communications, using varied and appropriate methods including social media.</li> <li>Design and develop an Engagement and Participation</li> </ol>	December 2016 March 2017	Local Authority & SAB Partners  Local Authority &
the safeguarding agenda and the SAB strategic plan (ii) Increase	group as a sub-group of the Board to provide effective communication and feedback to the SAB.	riaich 2017	SAB Partners
understanding of adult safeguarding across the city	3. Identify appropriate resources to deliver of a wide range of media and communications skills in support of the strategy and engagement group	March 2017	Local Authority & SAB Partners





Priority 4	Action	Timescale	Responsible agency/name
Quality Assurance To develop a multi- agency Quality Assurance and	<ol> <li>Identify a SAB partner to lead on Quality Assurance (QA) for the Board</li> <li>SAB QA Lead develop and</li> </ol>	September 2016	SAB Partner
Performance sub group to analyse information from the Performance	present work plan to the SAB Executive.  3. SAB QA Lead to report	ТВС	SAB Partner
Framework, and evaluate trends and patterns for which the SAB will seek assurance and /or action plans from relevant agencies	progress against the work plan, making recommendations to the SAB Executive and Board.	TBC	SAB Partner





Priority 5	Actions	Timescale	Responsible agency/name
Learning & Development Strategy To produce and monitor an agreed	<ol> <li>Task &amp; finish group to present draft competency framework and recommendations to the Lead Officer Group (LOG).</li> </ol>	August 2016	Lead Officer Group (LOG)
competency framework for Board partners	2. Present draft competency framework to the SAB Executive	September 2016	LOG Chair
and related agencies and organisations.	3. Present competency framework to the SAB for agreement	November 2016	LOG Chair
S	4. Develop an implementation plan	December 2016	LOG
	5. Implementation of Competency Framework	TBC	SAB Partners





Priority 6	Actions	Timescale	Responsible agency/name
SAB management arrangements (i) Design and	<ol> <li>Draft and agree board management plan, covering calendar of board and sub-group meetings, budget &amp; resource planning and reporting.</li> </ol>	January 2017	Local Authority
establish appropriate SAB management processes and	2. To explore interaction with partnership boards in order to provide the SAB annual report and learning form SCR/SAR.	October 2017	Local Authority
procedures.	<ul> <li>3. Annual review of the Board and Subgroup terms of reference, currently:</li> <li>Executive</li> <li>Policy and Lead Officer</li> <li>Safeguarding Adult Review</li> <li>Risk Management &amp; Self-neglect</li> </ul>	October 2016 – 19	Executive & LOG





		Adults Board	
Priority 6	Actions	Timescale	Responsible agency/name
SAB management arrangements	<ol> <li>Draft an initial communication proposal for consideration by SAB Chair.</li> </ol>	October 2016	Local Authority
(ii) To develop a SAB communication strategy, including	2. Draft communication strategy to be taken to the SAB development day for consideration.	November 2016	SAB Partners
revised web pages and use of social media	3. Develop strategy and phased implementation plan.	November 2016	Local Authority Comm's Team
	<ol> <li>First phase implementation –</li> <li>Safeguarding Website.</li> </ol>	January 2017	Local Authority Comm's Team
	5. Second phase implementation.	ТВС	TBC





Priority 6	Actions	Timescale	Responsible agency/name
SAB management arrangements	<ol> <li>Research SAB partner agency self assessment/audit models for decision at the Board Development Day.</li> </ol>	November 2016	SAB Executive
(iii) Board partner agency self	2. Undertake SAB partner agency self assessment/audit.	January 2017	SAB Partners
assessment and member appraisal	3. Collate and present outcome to SAB, outcome to inform Strategic Plan.	April 2017	Local Authority
	4. Develop and agree model/terms of reference for membership and appraisal for Board members.	January 2017	Chair and Executive
	5. Annual appraisal of Board partners and members	Annually 2017 - 19	Chair

## SAFEGUARDING MANAGER'S REPORT

## Plymouth Safeguarding Adults Board November 2016



### **PSAB EXECUTIVE GROUP HIGHLIGHTS:**

The group last met 16 September, and the agenda included:

### **SCR/SARs** update

#### SCR 'V'

Regarding the family's queries raised following their meeting with the Author, Catherine Cotter:

- The police have reviewed the pertinent information and have drafted a response to the SAB Independent Chair; authorisation to share this information has been received.
- An initial response to queries related to SWAST has been received; however a final version is awaited.
- The author has incorporated other queries under the 'voice of the family' section in the report.
- The additional responses from Devon & Cornwall Police and SWAST will not form part of the SCR report but proposed to accompany the report for completeness.

The author has finalised the report, however it remains in draft until confirmation of the recommendations is agreed by the SAB Executive. Work on the report recommendations is being led by the SAR Sub-group. A further report draft is to be circulated to lead officers involved prior to Board.

The following timeline of the SCR report and milestones leading up to publication is proposed:

- 1. Circulate draft SCR report and recommendations to SAB members 14th November 2016
- 2. Present final draft report to Board Executive 15th December 2016.
- 3. Pre-publication preparation
  - Comments/amendments from Board members
  - Further legal scrutiny/review initial impact assessment
  - Media/Comms statements prepared/reviewed
  - Update the family/solicitor
- 4. Proposed final sign off at SAB (2<sup>nd</sup> February 2017)
- 5. Share report with family/solicitor (February 2017)
- 6. Nominated Officers to meet with Family to receive feedback prior to sharing more widely (February 2017)
- 7. Share/publish report (March 2017)
- 8. SAR Sub-group monitor progress of recommendations and report to SAB quarterly

#### SAR 'RM'

SAR progress update, September to date 2016

- Kate Spreadbury Lead Reviewer engaged and SAR preparation completed.
- Lead Reviewer met with family, SAR information shared and proposed Terms of Reference discussed
- SAR Sub-group in conjunction with the lead reviewer and family drafted Terms of Reference, agreed with SAB Executive.
- The SAR Sub-group meetings\* will manage the SAR process and attendance from identified agencies/report writers or representatives will be required.

## Page 44

- Letter sent to the identified agencies/agency report writers or representatives (see below) inviting to SAR briefing on the 17<sup>th</sup> November 2016 to meet Lead Reviewer, set out requirements, support available and proposed timeline/milestones.
- Proposed timeline/milestones
  - Agency Briefing 17.11.2016
  - Agency reports submitted 13.01.2017
  - o SAR Sub-group meeting 25.01.2017\*
  - Agency 'Conversations' (TBC February 2017)
  - SAR Sub-group meeting 01.03.2017\*
  - Learning Event (TBC March 2017)
  - SAR Sub-group meeting 04.04.2017\*
  - Lead Reviewer draft SAR report of learning (April 2017)
  - o Present draft to SAB Executive/SAB 27.04.2017
  - Publish report of learning (TBC May 2017)
- Identified Agencies
  - Devon & Cornwall Police
  - o Plymouth City Council
  - Livewell Southwest
  - South Western Ambulance Services Trust (SWAST)
  - Ocean Medical Centre (GP)
  - Plymouth Community Homes

## Risk management/Self Neglect sub group update

Update: The three sessions to pilot the Creative Solutions Forum have been completed. The evaluation has informed the planning of future sessions and advice to referring agencies. Commissioners attendance has been helpful with looking at providing services/using contracts in a different way.

- RM&SN Policy drafted and shared with LOG members (October 2016)
- Final draft policy circulated to SAB Policy & LOG Sub-group 18.11.2016
- Proposed policy launch includes:
  - PCC/SAB webpages updated
  - Briefing note/letter to SAB partner agencies, appropriate service user and community reference groups
  - o Agency and organisation presentation to cascade
  - o Comms briefing, social media etc.
- Policy launch process to be agreed at P&LOG 30.11.2016
- Review policy effectiveness and feedback via P&LOG, quarterly in year one

### Strategic Plan 2016 - 17

It was agreed that the plan would cover priorities for a three year period, and will be reviewed and reported annually. It will be a 'live' plan to be updated as the priorities evolve. We will produce a business card size aide memoir for Board members detailing the SAB priorities.

### **Proposed budget**

Discussion regarding funding for SARs, the likely costs for the current SAR and funding for any additional SARs. Agreement for budget monitoring to be reported at each Executive Group meeting and twice yearly to SAB

SAFEGUARDING MANAGER'S REPORT to Safeguarding Adults Board November 2016 NOT PROTECTIVELY MARKED

## Page 45

### **Future Executive Group agendas:**

Updates from the Sub Groups Review and tracking of the Strategic Plan Performance and the risk areas Budget Nation and Regional Updates

#### **PSAB ANNUAL REPORT**

The report has been circulated, as required in the Care Act, to the Chief Executive of PCC, the Chair of Health & Wellbeing Board, the Police & Crime Commissioner, the Chief Constable, and the Chair of Healthwatch Plymouth. In addition all PSAB members' senior officers have received a copy.

### SAFEGUARDING ISSUES FOR ASYLUM SEEKERS AND REFUGEES (ASR)

Following this being raised through the national SAB Independent Chair's Network, PCC Social Inclusion Unit was requested to produce an overview of related risks and issues as known. We have contacted the major ASR support agencies and some have come forward for safeguarding training. PSAB Chair will contact the CEOs of the various agencies to further discussion

#### **MODERN SLAVERY:**

- The Home Office has completed its interim review of the National Referreal Mechanism (NRM) pilot and it has now been extended to March 2017.
- Following the third trial, the Operation Triage case has finally resulted in five members of a family of Czech origin being found guilty of conspiracy to traffic people for the purpose of exploitation, and sentenced to a total of 20 years and 6 months in jail.
  - This operation involved a wide range of partners as well as PCC staff (including housing, social care and the crime and anti-social behaviour team), who were involved in intelligence gathering and supporting victims following the police raid back in September 2014, which resulted in seven male victims being freed from modern slavery conditions. Other partners included the British Red Cross, Salvation Army, Racial Equality Council, DWP, Gangmasters' Licensing Authority, and health colleagues.
- In September there was a further multi-agency operation targeting two addresses and an employer in the city, which has so far resulted in four foreign nationals being referred into the National Referral Mechanism.
- Unseen, the leading modern slavery charity has and recently announced the launch of the UK Modern Slavery Helpline and Resource Centre, designed to provide victims, the public, statutory agencies and businesses access to information and support on a 24/7 basis.
- The Devon & Cornwall Anti-Slavery Partnership has commissioned training from Unseen, and the Plymouth session is booked for 12<sup>th</sup> December. It aims to train 25 individuals from a wide variety of organisations who are in a position to encounter victims of human trafficking and other forms of modern slavery.

## Page 46

## ADASS SW SAFEGUARDING LEADS GROUP

In the process of commissioning a thematic review of regional SCR/SARs by a leading academic team in the field. Plans are to present it at a conference next year, and to inform and extend learning across the region.

### **SAFEGUARDING ADULTS ANNUAL REPORT, ENGLAND 2015-16**

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/557866/SAC\_\_1516\_report.pdf

This is the first reporting year since safeguarding adults became a statutory duty for councils on I April 2015. This report provides the key findings from the Safeguarding Adults Collection (SAC) data collection for the period I April 2015 to 31 March 2016. It presents information about adults at risk for whom safeguarding concerns or enquiries were opened during the reporting period. It also contains case details for safeguarding enquiries which concluded during the reporting period.